

a manager, supervisor, official, or other employee or agent of a railroad; any owner, manufacturer, lessor, or lessee of railroad equipment, track, or facilities; any independent contractor providing goods or services to a railroad; and any employee of such owner, manufacturer, lessor, lessee, or independent contractor) who violates any requirement of this part or causes the violation of any such requirement is subject to a civil penalty of at least \$500 and not more than \$11,000 per violation, except that: Penalties may be assessed against individuals only for willful violations, and, where a grossly negligent violation or a pattern of repeated violations has created an imminent hazard of death or injury to persons, or has caused death or injury, a penalty not to exceed \$22,000 per violation may be assessed. Each day a violation continues shall constitute a separate offense. See appendix A to this part for a statement of agency civil penalty policy.

[63 FR 11623, Mar. 10, 1998]

§ 235.10 Contents of applications.

(a) The application may be submitted by letter and shall contain the following information:

- (1) The corporate name of each applicant;
- (2) The manner in which applicant is involved;
- (3) The location of the project, giving name of operating division and nearest station;
- (4) The track or tracks involved;
- (5) A complete description of proposed changes as they would affect the existing facilities or of the section from which relief is sought;
- (6) The reason for proposed changes or justification for relief from the requirements;
- (7) The approximate dates of beginning and completion of project;
- (8) Changes in operating practices, temporary or permanent;
- (9) Whether safety of operation will be affected, and if so, how; and
- (10) Whether proposed changes will conform to the Federal Railroad Administration's Rules, Standards and Instructions (part 236 of this title).

(b) [Reserved]

(Approved by the Office of Management and Budget under control number 2130-0042)

§ 235.12 Additional required information-prints.

(a) A print or prints, size 8 inches by 10½ inches, or 8½ inches by 11 inches, or folded to 8 inches by 10½ inches or to 8½ inches by 11 inches, shall be furnished with each application.

(b) The print or prints shall be to scale or by indicated dimensions, using Association of American Railroads graphic symbols.

(c) The following information shall be shown on the print or prints:

- (1) Present and proposed arrangement of tracks and signal facilities;
- (2) Name of carrier;
- (3) Operating division;
- (4) Place and State; and
- (5) Timetable directions of movements.

(d) If stopping distances are involved, the following information shall also be shown:

- (1) Curvature and grade;
- (2) Maximum authorized speeds of trains; and
- (3) Length of signal control circuits for each signal indication displayed.

(e) The following color scheme is suggested on prints:

- (1) Installations, relocations, and added signal aspects should be colored, preferably in yellow;
- (2) Removals, discontinuances, and abandonments should be colored, preferably in red; and
- (3) Existing facilities not pertinent to change proposed in application should be shown uncolored.

(Approved by the Office of Management and Budget under control number 2130-0042)

§ 235.13 Filing procedure.

(a) Applications or requests for reconsideration of an application shall be submitted by an authorized officer of the carrier.

(b) The original and two copies of each application with supporting papers should be filed.

(c) The application and correspondence in reference thereto should be addressed to the Associate Administrator for Safety, Federal Railroad Administration, Washington, DC 20590.

§ 235.14

(d) A separate application shall be filed for each project.

(e) At a joint facility where changes are proposed in the automatic block signal system, interlocking, traffic control system, automatic train stop, train control, or cab signal system on the tracks of more than one carrier, or if more than one carrier will be affected by the proposed changes or relief sought, a joint application signed by all carriers affected shall be filed.

(f) Where only one carrier at a joint facility is affected by the discontinuance or modification of the installation or relief sought, it shall be responsible for filing the application. It shall also certify that the other joint carriers have been notified of the filing of its application.

(Approved by the Office of Management and Budget under control number 2130-0042)

§ 235.14 Notice.

The FRA will post public notice of the filing of an application or a request for reconsideration of an application in the FRA Office of Public Affairs and will mail copies to all interested parties.

§ 235.20 Protests.

(a) A protest against the granting of an application shall set forth specifically the grounds upon which it is made, and contain a concise statement of the interest of protestant in the proceeding.

(b) The original and two copies of any protest shall be filed with the Associate Administrator for Safety, Federal Railroad Administration, Washington, DC 20590, and one copy shall be furnished to each applicant.

(c) Protests should be filed within the time limit set forth in the public notice.

(d) The protestant shall certify that service of a copy of its protest was made upon each applicant.

(e) Request for hearing must be accompanied with a showing why the protestant is unable to properly present his or her position by written statements.

EFFECTIVE DATE NOTE: At 49 FR 3380, Jan. 26, 1984, part 235 was revised. This section contains information collection and record-keeping requirements and will not become

49 CFR Ch. II (10-1-99 Edition)

effective until approval has been given by the Office of Management and Budget.

APPENDIX A TO PART 235—SCHEDULE OF CIVIL PENALTIES¹

Section	Violation	Willful violation
235.5 Changes requiring filing of application	\$5,000	\$7,500

¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to \$20,000 for any violation where circumstances warrant. See 49 CFR part 209, appendix A.

[53 FR 52936, Dec. 29, 1988]

PART 236—RULES, STANDARDS, AND INSTRUCTIONS GOVERNING THE INSTALLATION, INSPECTION, MAINTENANCE, AND REPAIR OF SIGNAL AND TRAIN CONTROL SYSTEMS, DEVICES, AND APPLIANCES

Sec.

236.0 Applicability, minimum requirements, and civil penalties.

Subpart A—Rules and Instructions: All Systems

GENERAL

236.1 Plans, where kept.

236.2 Grounds.

236.3 Locking of signal apparatus housings.

236.4 Interference with normal functioning of device.

236.5 Design of control circuits on closed circuit principle.

236.6 Hand-operated switch equipped with switch circuit controller.

236.7 Circuit controller operated by switch-and-lock movement.

236.8 Operating characteristics of electromagnetic, electronic, or electrical apparatus.

236.9 Selection of circuits through indicating or annunciating instruments.

236.10 Electric locks, force drop type; where required.

236.11 Adjustment, repair, or replacement of component.

236.12 Spring switch signal protection; where required.

236.13 Spring switch; selection of signal control circuits through circuit controller.

236.14 Spring switch signal protection; requirements.

236.15 Timetable instructions.

236.16 Electric lock, main track releasing circuit.

236.17 Pipe for operating connections, requirements.